

FIM CAPITAL: BUILT ON STRONG FOUNDATIONS

FIM Capital Limited originally commenced business in 2006 as IOMA Fund and Investment Management Limited, and in August 2015, the Company changed its name to FIM Capital Limited ("FIM Capital").

Led by two of its founding directors, Philip Scales and Russell Collister, FIM Capital provides specialist investment management and fund administration services to a range of private and institutional clients.

The fund administration business offers the full range of outsourced services to a variety of closed ended funds and collective investment schemes. Many of the closed ended schemes are quoted on the London Stock Exchange.

The investment management business focuses on managing discretionary and advisory portfolios for private clients, institutions, trusts and corporations.

Based on the Isle of Man, FIM Capital is an independent company, regulated by the Isle of Man Financial Services Authority and the UK Financial Conduct Authority.

HIDDEN GEM

Tony Edmonds, who recently joined FIM Capital as Senior Fund and Investment Strategist, described the business as a "hidden gem". He said "FIM Capital is like a great restaurant or boutique hotel you happen upon by chance. It doesn't appear in the guidebooks or jump out from a search engine, but is rather the preserve of well-informed, sophisticated people who are happy to maintain the well-kept secret!"

However, FIM Capital's burgeoning success is becoming difficult to ignore as it now boasts some 33 staff, over US\$ 4 billion of assets under management and administration and is a leading player in the local investment and fund administration community.

The team at FIM Capital has a depth of experience and professionalism that is the envy of rival businesses. The well-qualified staff have established a reputation for an innovative and nimble approach with a strong emphasis on client service.

FUND ADMINISTRATION

Philip Scales has been a leading light in the fund administration community for over thirty-five years and is regarded in many quarters, both locally and in the UK, as the Island's foremost expert.

He commented, "Our aim is to provide a high level of personal service, prompt communication and excellent organisational skills based on technical expertise and many years' experience.

A focus on these skills means we do things well, first time around. Above all, where possible we don't



overcomplicate. This is of key importance in fund administration. We deliver accounting, shareholder, secretarial, corporate governance, compliance and valuation services to both open ended and closed-ended vehicles, with a particular expertise in managing and administering Quoted Companies. Our professional team continually add value to the businesses we are asked to administer and adopt a 'head above the parapet' approach."

Philosophy & Approach

FIM Capital aims to deliver the highest level of service and performance standards across its range of fund administration and investment management disciplines. FIM Capital offers a comprehensive service to clients wishing to establish fund structures offshore. The Company is managed by professionals with a long history in the provision of fund administration services who between them have a significant amount of experience in designing and assisting with the creation of offshore schemes.

FIM's expertise extends to administering a wide range of structures including closed ended companies with a listing, open ended investment companies, unit trusts and limited partnerships.

Specifically, FIM offers:

- Start-up services. Identifying the appropriate structure; making introductions to banks, brokers, professional advisers and potential non-executive directors; dealing with regulatory matters.
- Accounting and financial reporting services.
- Net asset value calculations and pricing.
- Stock exchange notifications and public information services.
- Shareholder and registrar services.

- Compliance and regulatory services.
- Investment management services where required.

INVESTMENT SERVICES

Russell Collister has over 30 years of continuous experience in the investment management industry specialising in managing discretionary investment portfolios for private clients and institutions. Russell is an individually chartered Fellow of the Chartered Institute for Securities and Investment in the UK and a Chartered Wealth Manager.

He commented, "Many people are surprised at the substance and longevity of the business. We are currently celebrating our 10-year anniversary and the complementary nature of the two elements of our business has enabled us to provide a seamless service to international clients that is unparalleled in the local market.

Our independent stance and fresh approach to investment management appeal to both local and global clients looking for flair combined with security. We are quietly confident about what we can achieve for our clients".

Discretionary Investment Management

FIM Capital are committed to:

- Cost-effective investment management.
- Robust stock selection.
- Rigorous attitude to risk.
- Excellent client service.

Whilst no two portfolios are identical, the themes of wealth preservation, diversity, liquidity and income fit well with FIM's house views, based on many years of experience in periods of market weakness as well as strength. As the name suggests, a discretionary

portfolio leaves the day to day management in the hands of one or more of the experienced investment managers, all of whom are qualified with the Chartered Institute for Securities and Investment in the UK.

Clients range from individuals to family offices, trusts, pensions and larger institutions.

Advisory Investment Management

From time to time, FIM are asked to manage investment portfolios where the client wishes to incorporate some ideas in the overall process. Sometimes FIM has some discretion over part of the portfolio or may be asked to pass any investment ideas to clients before dealing on their behalf. At other times, clients like to make all of the stock selections themselves after discussion with the managers. All of this can be achieved very easily.

Investment Portfolio Evaluation Service

In addition to the core business of managing investment portfolios, FIM also provides a portfolio evaluation service for clients wishing to have an independent review of a particular investment portfolio or portfolio strategy.

This service is designed to provide additional information analysing a portfolio's performance, risk and diversity. It has proven particularly popular with trustees who may need an independent assessment of a portfolio strategy and can complement existing services, providing constructive feed-back from an independent perspective.

Pound a Day Portfolio

FIM Capital is the manager of the very successful Pound a Day portfolio.

It looks as if FIM Capital is well placed to build on those strong foundations...

FIM Capital Limited is licensed by the Financial Services Authority of the Isle of Man and Regulated and Authorised by the UK Financial Conduct Authority.